

## **Review of Local Government Ethical Standards: Stakeholder Consultation**

The Committee on Standards in Public Life is undertaking a review of local government ethical standards.

Robust standards arrangements are needed to safeguard local democracy, maintain high standards of conduct, and to protect ethical practice in local government.

As part of this review, the Committee is holding a public stakeholder consultation. The consultation is open from 12:00 on Monday 29 January 2018 and closes at 17:00 on Friday 18 May 2018.

### **Terms of reference**

The terms of reference for the review are to:

1. Examine the structures, processes and practices in local government in England for:
  - a. Maintaining codes of conduct for local councillors;
  - b. Investigating alleged breaches fairly and with due process;
  - c. Enforcing codes and imposing sanctions for misconduct;
  - d. Declaring interests and managing conflicts of interest; and
  - e. Whistleblowing.
2. Assess whether the existing structures, processes and practices are conducive to high standards of conduct in local government;
3. Make any recommendations for how they can be improved; and
4. Note any evidence of intimidation of councillors, and make recommendations for any measures that could be put in place to prevent and address such intimidation.

The review will consider all levels of local government in England, including town and parish councils, principal authorities, combined authorities (including Metro Mayors) and the Greater London Authority (including the Mayor of London).

Local government ethical standards are a devolved issue. The Committee's remit does not enable it to consider ethical standards issues in devolved nations in the UK except with the agreement of the relevant devolved administrations. However, we welcome any evidence relating to local government ethical standards in the devolved nations of the UK, particularly examples of best practice, for comparative purposes.

Submissions will be published online alongside our final report, with any contact information (for example, email addresses) removed.

The Committee will publish anonymised submissions (where the name of the respondent and any references to named individuals or local authorities are removed) where a respondent makes a reasonable request to do so.

### **Consultation questions**

The Committee invites responses to the following consultation questions.

Please note that not all questions will be relevant to all respondents and that submissions do not need to respond to every question. Respondents may wish to give evidence about only one local authority, several local authorities, or local government in England as a whole. Please do let us know whether your evidence is specific to one particular authority or is a more general comment on local government in England.

Whilst we understand submissions may be grounded in personal experience, please note that the review is not an opportunity to have specific grievances considered.

**a. Are the existing structures, processes and practices in place working to ensure high standards of conduct by local councillors? If not, please say why.**

Much of the new system is better. It was not well understood. There should be a requirement to have a Standards Committee, which should be chaired by an Independent Person. Consideration to the relevant number of Independent Persons needs to be given but there should be at least 2 or 3, with one being the Chairman.

**b. What, if any, are the most significant gaps in the current ethical standards regime for local government?**

Consideration must be given to ensuring that abuse given via social media is also regarded as misconduct, as should be cyber-bullying and online activity generally. The advice previously provided by the Standards Board for England is missed and an alternative source for this would be welcomed. A great deal of learning was gained by sharing this information.

*Codes of conduct*

**c. Are local authority adopted codes of conduct for councillors clear and easily understood? Do the codes cover an appropriate range of behaviours? What examples of good practice, including induction processes, exist?**

Important that they are updated regularly. May be useful to have a requirement that each authorities full Council endorse their Code annually. This should be followed by a requirement for training to be offered annually.

**d. A local authority has a statutory duty to ensure that its adopted code of conduct for councillors is consistent with the Seven Principles of Public Life and that it includes appropriate provision (as decided by the local authority) for registering and declaring councillors' interests. Are these requirements appropriate as they stand? If not, please say why.**

The Nolan Principles are very good and should continue to be embedded as a requirement in the Code.

## *Investigations and decisions on allegations*

### **e. Are allegations of councillor misconduct investigated and decided fairly and with due process?**

- i. What processes do local authorities have in place for investigating and deciding upon allegations? Do these processes meet requirements for due process? Should any additional safeguards be put in place to ensure due process?**

It is vitally important that those making complaints are satisfied that their complaint is considered fully and to some extent externally from the Council. All Monitoring Officers should be allowed if they consider it necessary to seek external legal assistance.

- ii. Is the current requirement that the views of an Independent Person must be sought and taken into account before deciding on an allegation sufficient to ensure the objectivity and fairness of the decision process? Should this requirement be strengthened? If so, how?**

Too many it would appear very limited involvement. May be the requirement should include provision for the Independent Person's views to be published as part of the decision. However, see (iii) below.

- iii. Monitoring Officers are often involved in the process of investigating and deciding upon code breaches. Could Monitoring Officers be subject to conflicts of interest or undue pressure when doing so? How could Monitoring Officers be protected from this risk?**

A better system is to have a fairly small (say 3) Committees/Sub-Committee, to include at least a majority of Independent Members. Such a system – similar to the previous regime, would give the public much greater confidence that the process was independent.

## *Sanctions*

### **f. Are existing sanctions for councillor misconduct sufficient?**

- i. What sanctions do local authorities use when councillors are found to have breached the code of conduct? Are these sanctions sufficient to deter breaches and, where relevant, to enforce compliance?**
- ii. Should local authorities be given the ability to use additional sanctions? If so, what should these be?**

Sanctions are fine. The withdrawal of Special Responsibility Allowances are automatic when a removal from a relevant post has been applied by the appointing body or person. In certain circumstances the suspension of the Basic Allowance should be allowable, as a sanction.

#### *Declaring interests and conflicts of interest*

**g. Are existing arrangements to declare councillors' interests and manage conflicts of interest satisfactory? If not please say why.**

- i. A local councillor is under a legal duty to register any pecuniary interests (or those of their spouse or partner), and cannot participate in discussion or votes that engage a disclosable pecuniary interest, nor take any further steps in relation to that matter, although local authorities can grant dispensations under certain circumstances. Are these statutory duties appropriate as they stand?**

Yes.

- ii. What arrangements do local authorities have in place to declare councillors' interests, and manage conflicts of interest that go beyond the statutory requirements? Are these satisfactory? If not, please say why.**

The Council has some additional requirements relating to these. On balance, a set of legal requirements, which go further than the current statutory requirements would assist. A set of requirements, standard across the sector would seem sensible.

#### *Whistleblowing*

**h. What arrangements are in place for whistleblowing, by the public, councillors, and officials? Are these satisfactory?**

The Council has a whistleblowing policy.

#### *Improving standards*

**i. What steps could local authorities take to improve local government ethical standards?**

The Council has recently decided to publish details of training undertaken by Members relating to their Councillor duties. This follows the introduction of a Member Development Programme. The Development Programme will lead to better informed Councillors. Members will need to attend and to some extent this is a matter for the parties but the recording and publication of attendance will provide some important data for the public.

**j. What steps could *central government* take to improve local government ethical standards?**

Make the legislation changes required to bring the improvements suggested below into force.

*Intimidation of local councillors*

**k. What is the nature, scale, and extent of intimidation towards local councillors?**

**i. What measures could be put in place to prevent and address this intimidation?**

The Council's experience of this is that it has been largely online of a political nature – not thankfully violent.

**Who can respond?**

Anyone with an interest may make a submission. The Committee welcomes submissions from members of the public.

However, the consultation is aimed particularly at the following stakeholders, both individually and corporately:

- Local authorities and standards committees;
- Local authority members (for example, Parish Councillors, District Councillors);
- Local authority officials (for example, Monitoring Officers);
- Independent Persons appointed under section 28(7) of the Localism Act 2011;
- Think tanks with an interest or expertise in local government;
- Academics with interest or expertise in local government; and
- Representative bodies or groups related to local government.

**How to make a submission**

Submissions can be sent either in electronic format or in hard copy.

Submissions must:

- State clearly who the submission is from, i.e. whether from yourself or sent on behalf of an organisation;
- Include a brief introduction about yourself/your organisation and your reason for submitting evidence;
- Be in doc, docx, rtf, txt, ooxml or odt format, not PDF;
- Be concise – we recommend no more than 2,000 words in length; and
- Contain a contact email address if you are submitting by email.

Submissions should:

- Have numbered paragraphs; and
- Comprise a single document. If there are any annexes or appendices, these should be included in the same document.

It would be helpful if your submission included any factual information you have to offer from which the Committee might be able to draw conclusions, and any recommendations for action which you would like the Committee to consider.

The Committee may choose not to accept a submission as evidence, or not to publish a submission even if it is accepted as evidence. This may occur where a submission is very long or contains material which is inappropriate.

Submissions sent to the Committee after the deadline of 17:00 on Friday 18 May 2018 may not be considered.

Submissions can be sent:

1. Via email to: [public@public-standards.gov.uk](mailto:public@public-standards.gov.uk)
2. Via post to:  
Review of Local Government Ethical Standards  
Committee on Standards in Public Life  
GC:07  
1 Horse Guards Road  
London  
SW1A 2HQ

If you have any questions, please contact the Committee's Secretariat by email ([public@public-standards.gov.uk](mailto:public@public-standards.gov.uk)) or phone (0207 271 2948).